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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/570,909-Conf. #1220			
Filing Date	March 29, 2006			
First Named Inventor	Carsten Hopf			
Art Unit	1633			
Examiner Name	Hill, Kevin Kai			
Attomey Docket Number	14129-00001-US			

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
	AA*	US-4,683,202	07-28-1987	Multis			
	AB*	US-4,683,195	07-28-1987	Mullis et al.			
	AC*	US-4,816,397	03-28-1989	Boss et al.			
	AD*	US-4,816,567	03-28-1989	Cabilly et al.			
	AE*	US-4,889,818	12-26-1989	Gelfand et al.			
	AF*	US-4,980,286	12-25-1990	Morgan et al.			
	AG*	US-5,096,815	03-17-1992	Ladner et al.			
	AH*	US-5,198,346	03-30-1993	Ladner et al.			
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	AK*	US-5,498,538	03-12-1996	Kay et al.			
	AL*	US-5,545,806	08-13-1996	Lonberg et al.			
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	AP*	US-5,625,126	04-29-1997	Lonberg et al.			
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	AR*	US-5,661,016		Lonberg et al.			

			FORE	IGN PATENT DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ <sup>¢</sup>
	BA	EP-0125023	11-14-1984	Genentech, Inc. & City of Hope		
	ВВ	EP-0171496-A2		Research Development Corporation of Japan		
	вс	EP-0173494-A2	03-05-1986	The Board of Trustees of the Leland Stanford Junior University		П
	BD	WO-86/01533-A1	03-13-1986	Cell-Tech Limited		Г
	BE	EP-0184187-A2	06-11-1986	Teijin Limited		
	BF	WO-87/02671-A1	05-07-1987	International Genetic Engineering, Inc.		
	BG	WO-88/01649-A1	03-10-1988	Genex Corporation		
	ВН	WO-88/09810-A1	12-15-1988	Synthetic Genetics		П
	ВІ	WO-89/10134-A1	11-02-1989	The Regents of the University of California		$\overline{}$
	BJ	WO-90/02809-A1	03-22-1990	Protein Engineering Corporation		П
	вк	EP-0368684-B1	05-16-1990	Medical Research Council		
	BL	WO-90/11364-A1	10-04-1990	University Patents, Inc.		П
	вм	WO-91/17271-A1	11-14-1991	Affymax Technologies N.V.		
	BN	WO-92/01047-A1	01-23-1992	Cambridge Antibody Technology Limited & Medical Research Council		
	во	WO-92/06180-A1		University of Connecticut		
	BP	WO-92/09690-A2	06-11-1992	Genentech, Inc.		
	BQ	WO-92/15679-A1		Protein Engineering Corporation		▔
	BR	WO-92/18619-A1	10-29-1992	The Scripps Research Institute		

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BS	WO-92/20791-A1	11-26-1992	Cambridge Antibody Technology Limited & Medical Research Council	
 BT	WO-92/20316-A2	11-26-1992	University of Connecticut & Targetech, Inc.	
BU	WO-92/22635-A1	12-23-1992	University of Connecticut & University of Michigan	
BV	WO-93/01288-A1	01-21-1993	Deutsches Krebsforschungszentrum Stiftung des Öffentlichen Rechts	
BW	WO-93/06213-A1	04-01-1993	Medical Research Council & Cambridge Antibody Technology Limited	
BX	WO-93/14188-A1	07-22-1993	The Regents of the University of Mighigan	_
BY	WO-93/20221-A1	10-14-1993	Young	_
BZ	WO-93/20242-A1	10-14-1993	The Scripps Research Institute	Ξ
BA1	WO-94/08598-A1	04-28-1994	Advanced Tissue Sciences, Inc.	_
BB1	WO-94/18318-A1	08-14-1994	The University of North Carolina at Chapel Hill	П
BC1	WO-95/11910-A1	05-04-1995	Ribozyme Pharmaceuticals, Inc.	 _
BD1	WO-97/29116-A1	08-14-1997	Cruachem Limited	
BE1	WO-98/24884-A1	06-11-1998	Genpharm International	_
BF1	WO-98/37240-A1	08-27-1998	TM Technologies, Inc.	_
BG1	WO-00/09716-A1	02-24-2000	Europäisches Laboratorium für Molekularbiologie	_
BH1	EP-1105508-B1	06-13-2001	Europäisches Laboratorium Für Molekularbiologie (EMBL)	
BI1	WO-03/019146-A2	03-06-2003	Xenon Genetics Inc.	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	ALBALA, J. S., "Array-based proteomics: the latest chip challenge", Expert Rev. Mol. Diagn., 2001, Vol. 1, No. 2, 145-152.	
	СВ	AMARZGUIOUI, M., et al., "Hammerhead ribozyme design and application", Cell. Mol. Life Sci., 1998, Vol. 54, pp. 1175-1202.	
	СС	ANDRAU, D., et al., "BACE1- and BACE2-expressing human cells – Characterization of β- amyloid precursor protein-derived catabolites, design of a novel fluorimetric assay, and identification of new <i>in vitro</i> inhibitors", The Journal of Biological Chemistry, 2003, Vol. 278, No. 28, pp. 25859-25866.	
	CD	ANNAERT, W., et al., "A cell biological perspective on Alzheimer's disease", Annu. Rev. Cell Dev. Biol., 2002, Vol. 18, pp. 25-51.	
	CE	BANGA, S. S., et al., "Assignment of PTK7 encoding a receptor protein tyrosine kinase-like molecule to human chromosome 6p21.1—p12.2 by fluorescence in situ hybridization", Cytogenetics and Cell Genetics, 1997, Vol. 76, pp. 43-44.	
	CF	BEIDLER, C. B., et al., "Cloning and high level expression of a chimeric antibody with specificity for human carcinoembryonic antigen", The Journal of Immunology, 1988, Vol. 141, No. 11, pp. 4053-4060.	

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s	TATEMENT B	3Y /	APPLICANT	First Named Inventor	Carsten Hopf	
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	CG	BEIGELMAN, L., et al., "Synthesis and biological activities of a phosphorodithioate analog of 2',5' -oligoadenylate", Nucleic Acids Research, 1995, Vol. 23, No. 19, pp. 3989-3994.	П
	СН	BENOIST, C., et al., "In vivo sequence requirements of the SV40 early promoter region", Nature, 1981, Vol. 290, 304-310.	П
	CI	BESTE, G., et al., "Small antibody-like proteins with prescribed ligand specificities derived from the lipocalin fold", Proc. Natl. Acad. Sci. USA, 1999, Vol. 96, pp. 1898-1903.	П
	Cl	BETTER, M., et al., "Escherichia coli Secretion of an active chimeric antibody fragment", Science, 1988, Vol. 240, pp. 1041-1043.	П
	СК	BLASKO, I., et al., "Experimental traumatic brain injury in rats stimulates the expression, production and activity of Alzheimer's disease B-sectrase (BACE-1)", Journal of Neural Transmission, 2004, Vol. 111, pp. 523-536.	П
	CL	BLAWAS, A. S., et al., "Protein Patterning", Biomaterials, 1998, Vol. 19, pp. 595-609.	
	СМ	BOCK, L. C., et al., "Selection of single-stranded DNA molecules that bind and inhibit human thrombin", Nature, 1992, Vol. 355, pp. 564-566.	П
	CN	BOESEN, J. J. B., et al., "Circumvention of chemotherapy-induced myelosuppression by transfer of the <i>mdr1</i> gene", Biotherapy, 1994, Vol. 6, pp. 291-302.	П
	со	BOUT, A., et al., "Lung gene therapy: In vivo adenovirus-mediated gene transfer to rhesus monkey airway epithelium", Human Gene Therapy, 1994, Vol. 5, pp. 3-10.	
	CP	BRENNER, S., et al., "Encoded combinatorial chemistry", Proc. Natl. Acad. Sci. USA, 1992, Vol. 89, pp. 5381-5383.	
	CQ	BRINSTER, R. L., et al., "Regulation of metallothionein-thymidine kinase fusion plasmids injected into mouse eggs", Nature, 1982, Vol. 296, pp. 39-42.	П
	CR	BRODY, E. N., et al., "Aptamers as therapeutic and diagnostic agents", Reviews in Molecular Biotechnology, 2000, Vol. 74, 5-13.	П
	CS	BUCHWALD, H., et al., "Long-term, continuous intravenous heparin administration by an implantable infusion pump in ambulatory patients with recurrent venous thrombosis", Surgery, 1980, Vol. 8, No. 4, pp. 507-516.	
	СТ	BUNIN, B. A., et al., "The combinatorial synthesis and chemical and biological evaluation of a 1,4-benzodiazepine library", Proc. Natl. Acad. Sci. USA, 1994, Vol. 91, pp. 4708-4712.	П
	CU	CAO, X., et al., "A transcriptively active complex of APP with Fe65 and histone acetyltransferase Tip60", Science, 2001, Vol. 293, pp. 115-120.	П
	CV	CAPECCHI, M. R., "Altering the genome by homologous recombination", Science, 1989, Vol. 244, pp. 1288-1292.	
	cw	CHEN, F., et al., "Nicastrin binds to membrane-tethered notch", Nature Cell Biology, 2001, Vol. 3, pp. 751-754.	
	сх	CHEN, C. S., et al., "Geometric control of cell life and death", Science, 1997, Vol. 276, pp. 1425-1428.	
***************************************	CY	CHO, H. P., et al., "Gloring, expression, and fatty acid regulation of the human Δ-5 desaturase", The Journal of Biological Chemistry, 1999, Vol. 274, No. 52, pp. 37333-37339.	
	CZ	CHOU, P. Y., et al., "Conformational parameters for amino acids in helical, β-sheet, and random coil regions calculated from proteins", Biochemistry, 1974, Vol 13, No. 2, pp. 211-222.	П
	CA1	CHUNG, H-M., et al., "Nicastrin is required for presentilin-mediated transmembrane cleavage in <i>Drosophila</i> ", Nature Cell Biology, 2001, Vol. 3, pp. 1129-1132.	
	CB1	CLINE, M.J., "Perspectives for gene therapy: Inserting new genetic information into mammalian cells by physical techniques and viral vectors", Pharmac. Ther., 1985, Vol. 29, pp. 69-92.	
	CC1	CLOWES, M. M., et al., "Long-term biological response of injured rat carotid artery seeded with smooth muscle cells expressing retrovirally introduced human genes", J. Clin. Invest., 1994, Vol. 93, pp. 644-651.	
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				Application Number	10/570,909-Conf. #1220	_
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S	TATEMENT	BYA	PPLICANT	First Named Inventor	Carsten Hopf	_
				Art Unit	1633	_
	(Use as many	she ets as n	ecess ary)	Examiner Name	Hill, Kevin Kai	_
Sheet	4	of	12	Attorney Oocket Number	14129-00001-US	Т

CD1	COTTEN, M., et al., "Receptor-mediated transport of DNA into eukaryotic cells", Methods in Enzymology, 1993, Vol. 217, pp. 618-644.	
CE1	COUTURE, L. A., et al., "Anti-gene therapy: the use of ribozymes to inhibit gene function", Trends in Genetics, 1996, Vol. 12, No. 12, pp. 510-515.	
CF1	DAVEY, H. M., et al., "Flow cytometry and cell sorting of heterogeneous microbial populations: the importance of single-cell analyses", Microbiological Reviews, 1996, Vol. 60, No. 4, pp. 641-696.	
CG1	DE STROOPER, B., et al., "Deficiency of presentiin-1 inhibits the normal cleavage of amyloid precursor protein", Nature, 1998, Vol. 391, pp. 387-390.	Г
CH1	DE STROOPER, B., et al., "A presentiin-1-dependent γ-secretase-like protease mediates release of Notch intracellular domain", Nature, 1999, Vol. 398, pp. 518-522.	
CI1	DEXTER, T. M., et al., "Conditions controlling the proliferation of haemopoietic stem cells in vitro", J. Cell. Physiol., 1977, Vol. 91, pp. 335-344.	
CJ1	DIAMOND, B. A., et al., "Monoclonal antibodies – A new technology for producing serologic reagents", The New England Journal of Medicine, 1981, Vol. 304, No. 22, pp. 1344-1349.	
CK1	DURING, M. J., et al., "Controlled release of dopamine from a polymeric brain implant: in vivo characterization", Annals of Neurology, 1989, Vol. 25, No. 4, pp. 351-356.	
CL1	EKINS, R. P., "Multi-analyte immunoassay", Journal of Pharmaceutical & Biomedical Analysis, 1989, Vol. 7, No. 2, pp. 155-168.	Г
CM1	ELBASHIR, S. M., et al., "RNA interference is mediated by 21- and 22-nucleotide RNAs", Genes & Development, 2001, Vol. 15, pp. 188-200.	
CN1	ELBASHIR, S. M., et al., "Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured mammalian cells", Nature, 2001, Vol. 411, pp. 494-498.	
CO1	ELLINGTON, A. D., et al., "Selection in vitro of single-stranded DNA molecules that fold into specific ligand-binding structures", Nature, 1992, Vol. 355, pp. 850-852.	
CP1	EMSLEY, R., et al., "Clinical potential of omega-3 fatty acids in the treatment of schizophrenia", CNS Drugs, 2003, Vol. 17, No. 15, pp. 1081-1091.	Г
CQ1	ENGSTRÖM, A., "The arrangement of the protein molecules in nuclear-polyhedrosis inclusions", Biochem. Exp. Biol., 1974, Vol. 11, pp. 7-13.	
CR1	ERB, E., et al., "Recursive deconvolution of combinatorial chemical libraries", Proc. Natl. Acad. Sci. USA, 1994, Vol. 91, pp. 11422-11426.	
CS1	FIGEYS, D., et al., "Proteomics on a chip: Promising developments", Electrophoresis, 2001, Vol. 22, Vol. 208-216.	
CT1	FOWLKES, D. M., et al., "Multipurpose vectors for peptide expression on the M13 viral surface", BioTechniques, 1992, Vol. 13, No. 3, pp. 422-427.	
CU1	FRANCIS, R., et al., "aph-1 and pen-2 are required for notch pathway signaling, y-secretase cleavage of βAPP, and presenilin protein accumulation", Developmental Celt, 2002, Vol. 3, pp. 85-97.	
CV1	FREEMAN, G. J., et al. "Sequential expression of new gene programs in inducer T-cell clones", Proc. Natl. Acad. Sci. USA, 1983, Vol. 80, pp. 4094-4098.	
CW1	FUCHS, P., et al., "Targeting recombinant antibodies to the surface of Escherichia coli: Fusion to a peptidoglycan associated lipoprotein", Bio/Technology, 1991, Vol. 9, 1369-1372.	
CX1	GALLOP, M. A., et al., "Applications of combinatorial technologies to drug discovery. 1. Background and peptide combinatorial Libraries", Journal of Medicinal Chemistry, 1994, Vol. 37, No. 9, 1233-1251.	
CY1	GAUTIER, C., et al., "α-DNA IV: α-anomeric and β-anomeric tetrathymicylates covalently linked to intercalating oxazolopyridocarbazole. Synthesis, physicochemical properties and poly [(A) binding", Nucleic Acids Research, 1987, Vol. 15, No. 16, 6625-6641.	
CZ1	GEORGAKOPOULOS, A., et al., "Presenilin-1 forms complexes with the cadherin/catenin cell-	

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Sul	bstitute for form 1449/PTO			Complete if Known		
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- 11	NFORMATION	۱DI	SCLOSURE	Filing Date	March 29, 2006	
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	CB2	GOLDSPIEL, B. R., et al., "Human gene therapy", Clinical Pharmacy, 1993, Vol. 12, pp. 488- 505.	
	CC2	GOOD, P. D., et al., "Expression of small, therapeutic RNAs in human cell nuclei", Gene Therapy, 1997, Vol. 4, pp. 45-54.	
	CD2	GRASSI, G., et al., "Ribozymes: Structure, function and potential therapy for dominant genetic disorders", Trends in Molecular Medicine, 1996, Vol. 28, pp. 499-510.	
	CE2	GRIFFITHS, A. D., et al., "Human anti-self antibodies with high specificity from phage display libraries", The EMBO Journal, 1993, Vol. 12, No. 2, pp. 725-734.	
,	CF2	GROSSMAN, M., et al., "Retroviruses: delivery vehicle to the liver", Current Opinion in Genetics and Development, 1993, Vol. 3, pp. 110-114.	
	CG2	GYLLENSTEN, U. B., et al., "Generation of single-stranded DNA by the polymerase chain reaction and its application to direct sequencing of the <i>HLA-DQA</i> locus", Proc. Natl. Acad. Sci. USA, 1988, Vol. 85, pp. 7652-7656.	
	CH2	HAY, B. N., et al., "Bacteriophage cloning and Escherichia coli expression of a human IgM Fab", Hum. Antibod. Hybridomas, 1992, Vol. 3, pp. 81-85.	
	CI2	HONORÉ, B., "Genome- and Proteome-based technologies: status and applications in the postgenmic era", Expert Rev. Mol. Diagn., 2001, Vol. 1, No. 3, pp. 265-274.	
	CJ2	HOPP, T. P., et al., "Prediction of protein antigenic determinants from amino acid sequences" Proc. Natl. Acad. Sci. USA, 1981, Vol. 78, No. 6, pp. 3824-3828.	
	CK2	HOUGHTEN, R. A., et al., "Generation and use of synthetic peptide combinatorial libraries for basic research and drug discovery", Nature, 1991, Vol. 354, pp. 84-86.	
	CL2	HOUGHTEN, R. A., et al., "The use of synthetic peptide combinatorial libraries for the identification of bioactive peptides", BioTechniques, 1992, Vol. 13, No. 3, pp. 412-421.	
	CM2	HOWARD, M. A., et al., "Acute subdural hematomas: an age-dependent clinical entity", J. Neurosurg., 1989, Vol. 71, pp. 858-863.	
	CN2	HU, Y., et al., "Nicastrin is required for γ-secretase cleavage of the <i>Drosophila</i> Notch receptor", Developmental Cell, 2002, Vol. 2, pp. 69-78.	
	CO2	HUNKAPILLER, M., et al., "A microchemical facility for the analysis and synthesis of genes and proteins", Nature, 1984, Vol. 310. pp. 105-111.	
	CP2	HUSE, W. D., et al., "Generation of a large combinatorial library of the immunoglobulin repertoire in phage lambda", Science, 1989, Vol. 24, pp. 1275-1281.	
	CQ2	HUTCHISON, C. A., et al., "Mutagenesis at a specific position in a DNA sequence", The Journal of Biological Chemistry, 1978, Vol. 253, No. 18, pp. 6551-6560.	
	CR2	INOUE, H., et al., "Sequence-dependent hydrolysis of RNA using modified oligonucleotide splints and RNase H", FEBS Letters, 1987, Vol. 215, No. 2, pp. 327-330.	
	CS2	INOUE, H., et al., "Synthesis and hybridization studies on two complementary nona(2'-0-methyl)ribonucleotides", Nucleic Acids Research, 1987, Vol. 15, No. 15, pp. 6131-6148.	
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	CU2	JAYASENA, S. D., "Aptamers: An emerging class of molecules that rival antibodies in diagnostics", Clinical Chemistry, 1999, Vol. 45, No. 9, pp. 1628-1650.	╗
	CV2	JESPERS, L. S., et al., "Guiding the selection of human antibodies from phage display repertoires to a single epitope of an antigen", Bio/Technology, 1994, Vol. 12, 899-903.	$\neg$
	CW2	JOLIOT A., et al., "Antennapedia homeobox peptide regulates neural morphogenesis", Proc. Natl. Acad. Sci. USA, 1991, Vol. 88, pp. 1864-1868.	
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	CX2	JONES, P. T., et al., "Replacing the complementarity-determining regions in a human antibody with those from a mouse", Nature, 1986, Vol. 321, pp. 522-525.									
	CY2	KADONAGA, J. T., et al., "Affinity purification of sequence-specific DNA binding proteins", Proc. Natl. Acad. Sci. USA, 1986, Vol. 83, pp. 5889-5893.									
	CZ2	KANE, R. S., et al., "Patterning proteins and cells using soft lithography", Biomaterials, 1999, Vol. 20, pp. 2363-2376.									
	CA3	KARLSTRÖM, A., et al., "Dual labeling of a binding protein allows for specific fluorescence detection of native protein", Analytical Biochemistry, 2001, Vol. 295, pp. 22-30.									
	СВЗ	KAUVAR, L. M., et al., "Predicting ligand binding to proteins by affinity fingerprinting", Chemistry & Biology, 1995, Vol. 2, No. 2, pp. 107-118.									
	CC3	KAUVAR, L. M., "Affinity Fingerprinting – A novel approach to quantitative chemical classification proves useful in drug discovery", Bio/Technology, 1995, Vol. 13, pp. 965-966.									
	CD3	KAY, B. K., et al., "An M13 phage library displaying random 38-amino-acid peptides as a source of novel sequences with affinity to selected targets", Gene, 1993, Vol. 128, pp. 59-65.									
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	CG3	KLUG, S. J., et al., "All you wanted to know about SELEX", Molecular Biology Reports, 1994, Vol. 20, pp. 97-107.									
	СНЗ	KLUßMANN, S., et al., "Mirror-image RNA that binds D-adenosine", Nature Biotechnology, 1996, Vol. 14, pp. 1112-1115.									
	CI3	KODO, H., et al., "Antibody synthesis by bone marrow cells in vitro following primary and booster tetanus toxoid immunization in humans", J. Clin. Invest., 1984, Vol. 73, pp. 1377-1384.									
	CJ3	KÖHLER, G., et al., "Continuous cultures of fused cells secreting antibody of predefined specificity", 1975, Vol. 256, pp. 495-497.									
	СКЗ	KOLLER, B. H., et al., "Inactivating the β2-microglobulin locus in mouse embryonic stem cells									
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	CL3	KOPAN, R., et al., "A common enzyme connects Notch signaling and Alzheimer's disease", Genes & Development, 2000, Vol. 14, pp. 2799-2806.									
	СМЗ	KOVACS, D. M., et al., "Alzheimer-associated presenilins 1 and 2: Neuronal expression in brain and localization to intracellular membranes in mammalian cells", Nature Medicine, 1996, Vol. 2, No. 2, pp. 224-229.									
	CN3	KOZARSKY, K. F., et al., "Gene therapy: adenovirus vectors", Current Opinion in Genetics and Development, 1993, Vol. 3, pp. 499-503.									
	СОЗ	KOZBOR, D., et al., "The production of monoclonal antibodies from human lymphocytes", Immunology Today, 1983, Vol. 4, No. 3, pp. 72-79.									
	CP3	VAN DER KROL, A. R., et al., "Modulation of eukaryotic gene expression by complementary RNA or DNA sequences", BioTechniques, 1988, Vol. 6, No. 10, pp. 958-976.									
	CQ3	LAM, K. S., et al., "A new type of synthetic peptide library for indentifying ligand-binding activity", Nature, 1991, Vol. 354, pp. 82-84.									
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